

12104101

Section 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re. U.S. Application of: Takaaki AMANO, ET AL.

Filed: May 23, 2001

Serial No.: 09/863,882

For: TRANSACTION PROCESSING METHOD AND TRANSACTION PROCESSING...

Attention : Application Branch

SUBMISSION OF MISSING PARTS

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

SIR:

We have received a Notice to File Missing Parts of Application dated August 1, 2001 a copy of which is attached. In reply thereto enclosed please find the following items:

- ☒ 1. Declaration;
- ☒ 2. Priority Documents; Certified copy of Japanese Application no. 2000-153227 filed May 24, 2000 & 2001-151501 filed May 21, 2001;
- ☒ 3. Petition for One Month Extension;
- ☒ 4. Return post card;
- ☒ 5. Notice to File Missing Parts of Application;

Any fee due with this paper may be charged to Deposit Acct.
No. 50-1290.

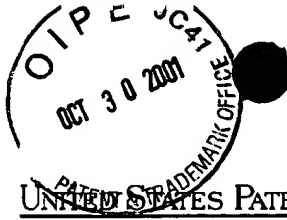
Respectfully submitted,

ANY FEE DUE WITH THIS PAPER, NOT FULLY
COVERED BY AN ENCLOSED CHECK, MAY BE
CHARGED ON DEPOSIT ACCOUNT NO. 501290

[X] Samson Helfgott
Reg. No.: 23,072

ROSENMAN & COLIN, LLP.
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New York, New York 10022-2585
TELEPHONE: (212) 940-8800
OCTOBER 30, 2001
Docket No.: 100809-16256 (SCET 18.691)
ejh: Mispart.

Filed by Express Mail
(Receipt No. EW 0142631434)
on October 30, 2001
pursuant to 37 C.F.R. 1.10.
by [Signature]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,882	05/23/2001	Takaaki Amano	SCET 18.691

CONFIRMATION NO. 9462

026304
HELFGOTT & KARAS, P.C.
EMPIRE STATE BUILDING
60TH FLOOR
NEW YORK, NY 10118

FORMALITIES LETTER



OC00000006369748

Date Mailed: 08/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/05/2001 STEUNEL1 00000039 501290 09863882 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	740.00 CH
02 FC:102	84.00 CH
03 FC:105	130.00 CH